

## Coefficient bounds for certain classes of bi-univalent functions

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### Abstract

In this paper, we introduce two new subclasses of the function class  $\Sigma$  of bi-univalent functions defined in the open unit disk. Furthermore, we find estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses.

**Keywords:** Analytic and univalent functions, bi-univalent functions, starlike and convex functions, coefficients bounds.

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### 1. Introduction and definitions

Let  $\mathcal{A}$  denote the class of functions of the form :

$$(1.1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

which are analytic in the open unit disc  $\mathcal{U} = \{z : |z| < 1\}$ . Further, by  $\mathcal{S}$  we shall denote the class of all functions in  $\mathcal{A}$  which are univalent in  $\mathcal{U}$ . A function  $f(z)$  belonging to  $\mathcal{S}$  is said to be starlike of order  $\alpha$  if it satisfies

$$(1.2) \quad \Re \left( \frac{zf'(z)}{f(z)} \right) > \alpha \quad (z \in \mathcal{U})$$

for some  $\alpha (0 \leq \alpha < 1)$ . We denote by  $\mathcal{S}^*(\alpha)$  the subclass of  $\mathcal{S}$  consisting of functions which are starlike of order  $\alpha$  in  $\mathcal{U}$ . Also, a function  $f(z)$  belonging to  $\mathcal{S}$  is said to be convex of order  $\alpha$  if it satisfies

$$(1.3) \quad \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \alpha \quad (z \in \mathcal{U})$$

for some  $\alpha (0 \leq \alpha < 1)$ . We denote by  $\mathcal{K}(\alpha)$  the subclass of  $\mathcal{S}$  consisting of functions which are convex of order  $\alpha$  in  $\mathcal{U}$ .

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Gao and Zhou [5] showed some mapping properties of the following subclass of  $\mathcal{A}$ :

$$\mathcal{R}(\alpha, \beta) = \{f \in \mathcal{A} : \Re((f'(z) + \beta z f''(z)) > \alpha, \quad \beta > 0, \quad 0 \leq \alpha < 1; \quad z \in \mathcal{U}\}.$$

Yang and Liu [12, Theorem 3.1, p.9], proved that the class  $\mathcal{R}(\alpha, \beta) \subset \mathcal{S}$  iff

$$2(1 - \alpha) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\beta^{m+1}} \leq 1.$$

It is well known that every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathcal{U})$$

and

$$f^{-1}(f(w)) = w \quad (|w| < r_0(f); \quad r_0(f) \geq \frac{1}{4})$$

where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots$$

A function is said to be bi-univalent in  $\mathcal{U}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathcal{U}$ .

Let  $\Sigma$  denote the class of bi-univalent functions in  $\mathcal{U}$  given by (1.1). Example of functions in the class  $\Sigma$  are

$$\frac{z}{1-z}, \quad \log \frac{1}{1-z}, \quad \log \sqrt{\frac{1+z}{1-z}}.$$

However, the familiar Koebe function is not a member of  $\Sigma$ . Other common examples of functions in  $\mathcal{U}$  such as

$$\frac{2z - z^2}{2} \quad \text{and} \quad \frac{z}{1-z^2}$$

are also not members of  $\Sigma$ .

Lewin [6] investigated the bi-univalent function class  $\Sigma$  and showed that  $|a_2| < 1.51$ . Subsequently, Brannan and Clunie [1] conjectured that  $|a_2| < \sqrt{2}$ . Netanyahu [7], on the other hand, showed that  $\max_{f \in \Sigma} |a_2| = 4/3$ .

The coefficient estimate problem for each of the Taylor–Maclaurin coefficients  $|a_n|$  ( $n \geq 3; n \in \mathbb{N}$ ) is presumably still an open problem.

Brannan and Taha [2] (see also [10]) introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses  $\mathcal{S}^*(\alpha)$  and  $\mathcal{K}(\alpha)$  (see [3]). Thus, following Brannan and Taha [2] (see also [10]), a function  $f \in \mathcal{A}$  is in the class  $\mathcal{S}_{\Sigma}^*[\alpha]$  of strongly bi-starlike functions of order  $\alpha$  ( $0 < \alpha \leq 1$ ) if each of the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad \left| \arg \left( \frac{z f'(z)}{f(z)} \right) \right| < \frac{\alpha \pi}{2} \quad (0 < \alpha \leq 1, \quad z \in \mathcal{U})$$

and

$$\left| \arg \left( \frac{z g'(w)}{g(w)} \right) \right| < \frac{\alpha \pi}{2} \quad (0 < \alpha \leq 1, \quad w \in \mathcal{U}),$$

where  $g$  is the extension of  $f^{-1}$  to  $\mathcal{U}$ . The classes  $\mathcal{S}_\Sigma^*(\alpha)$  and  $\mathcal{K}_\Sigma(\alpha)$  of bi-starlike functions of order  $\alpha$  and bi-convex functions of order  $\alpha$ , corresponding (respectively) to the function classes defined by (1.2) and (1.3), were also introduced analogously. For each of the function classes  $\mathcal{S}_\Sigma^*(\alpha)$  and  $\mathcal{K}_\Sigma(\alpha)$ , they found non-sharp estimates on the first two Taylor–Maclaurin coefficients  $|a_2|$  and  $|a_3|$  (for details, see [7,8]).

The object of the present paper is to introduce two new subclasses of the function class  $\Sigma$  and find estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses of the function class  $\Sigma$  employing the techniques used earlier by Srivastava *et al.* [9] (see also, [4] and [11]).

In order to derive our main results, we have to recall here the following lemma [8].

**1.1. Lemma.** *If  $h \in \mathcal{P}$  then  $|c_k| \leq 2$  for each  $k$ ,*

where  $\mathcal{P}$  is the family of all functions  $h$  analytic in  $\mathcal{U}$  for which  $\Re h(z) > 0$   $h(z) = 1 + c_1z + c_2z^2 + c_3z^3 + \dots$  for  $z \in \mathcal{U}$ .

## 2. Coefficient bounds for the function class $\mathcal{H}_\Sigma(\alpha, \beta)$

**2.1. Definition.** A function  $f(z)$  given by (1.1) is said to be in the class  $\mathcal{H}_\Sigma(\alpha, \beta)$  if the following conditions are satisfied:

$$(2.1) \quad f \in \Sigma \text{ and } |\arg(f'(z) + \beta z f''(z))| < \frac{\alpha\pi}{2} \quad (z \in \mathcal{U})$$

and

$$(2.2) \quad |\arg(g'(w) + \beta w g''(w))| < \frac{\alpha\pi}{2} \quad (w \in \mathcal{U}),$$

where  $\beta > 0, 0 < \alpha < 1, 2(1 - \alpha) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\beta^{m+1}} \leq 1$ , and the function  $g$  is given by

$$(2.3) \quad g(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \dots$$

We begin by finding the estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in the class  $\mathcal{H}_\Sigma(\alpha, \beta)$ .

**2.2. Theorem.** *Let  $f(z)$  given by (1.1) be in the class  $\mathcal{H}_\Sigma(\alpha, \beta)$  where  $\beta > 0, 0 < \alpha < 1$ , and  $2(1 - \alpha) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\beta^{m+1}} \leq 1$ . Then*

$$(2.4) \quad |a_2| \leq \frac{2\alpha}{\sqrt{2(\alpha + 2) + 4\beta(\alpha + \beta + 2 - \alpha\beta)}}$$

and

$$(2.5) \quad |a_3| \leq \frac{\alpha^2}{(1 + \beta)^2} + \frac{2\alpha}{3(1 + 2\beta)}.$$

*Proof.* It follows from (2.1) and (2.2) that

$$(2.6) \quad f'(z) + \beta z f''(z) = [p(z)]^\alpha$$

and

$$(2.7) \quad g'(w) + \beta w g''(w) = [q(w)]^\alpha$$

where  $p(z)$  and  $q(w)$  in  $\mathcal{P}$  and have the forms

$$(2.8) \quad p(z) = 1 + p_1 z + p_2 z^2 + p_3 z^3 + \dots$$

and

$$(2.9) \quad q(w) = 1 + q_1 w + q_2 w^2 + q_3 w^3 + \dots$$

Now, equating the coefficients in (2.6) and (2.7), we get

$$(2.10) \quad 2(1 + \beta)a_2 = \alpha p_1,$$

$$(2.11) \quad 3(1 + 2\beta)a_3 = \alpha p_2 + \frac{\alpha(\alpha - 1)}{2} p_1^2,$$

$$(2.12) \quad -2(1 + \beta)a_2 = \alpha q_1$$

and

$$(2.13) \quad 3(1 + 2\beta)(2a_2^2 - a_3) = \alpha q_2 + \frac{\alpha(\alpha - 1)}{2} q_1^2.$$

From (2.10) and (2.12), we get

$$(2.14) \quad p_1 = -q_1$$

and

$$(2.15) \quad 8(1 + \beta)^2 a_2^2 = \alpha^2 (p_1^2 + q_1^2).$$

Now from (2.11), (2.13) and (2.15), we obtain

$$\begin{aligned} 6(1 + 2\beta)a_2^2 &= \alpha(p_2 + q_2) + \frac{\alpha(\alpha - 1)}{2} (p_1^2 + q_1^2) \\ &= \alpha(p_2 + q_2) + \frac{4(\alpha - 1)(1 + \beta)^2}{\alpha} a_2^2. \end{aligned}$$

Therefore, we have

$$a_2^2 = \frac{\alpha^2 (p_2 + q_2)}{2(\alpha + 2) + 4\beta(\alpha + \beta + 2 - \alpha\beta)}.$$

Applying Lemma 1.1 for the coefficients  $p_2$  and  $q_2$ , we immediately have

$$|a_2| \leq \frac{2\alpha}{\sqrt{2(\alpha + 2) + 4\beta(\alpha + \beta + 2 - \alpha\beta)}}.$$

This gives the bound on  $|a_2|$  as asserted in (2.4).

Next, in order to find the bound on  $|a_3|$ , by subtracting (2.13) from (2.11), we get

$$(2.16) \quad 6(1 + 2\beta)a_3 - 6(1 + 2\beta)a_2^2 = \alpha p_2 + \frac{\alpha(\alpha - 1)}{2} p_1^2 - \left( \alpha q_2 + \frac{\alpha(\alpha - 1)}{2} q_1^2 \right).$$

Upon substituting the value of  $a_2^2$  from (2.15) and observing that  $p_1^2 = q_1^2$ , it follows that

$$a_3 = \frac{\alpha^2 p_1^2}{4(1+\beta)^2} + \frac{\alpha(p_2 - q_2)}{6(1+2\beta)}.$$

Applying Lemma 1.1 once again for the coefficients  $p_1, p_2, q_1$  and  $q_2$ , we readily get

$$|a_3| \leq \frac{\alpha^2}{(1+\beta)^2} + \frac{2\alpha}{3(1+2\beta)}.$$

This completes the proof of Theorem 2.2. □

Putting  $\beta = 1$  in Theorem 2.2, we have

**2.3. Corollary.** *Let  $f(z)$  given by (1.1) be in the class  $\mathcal{H}_\Sigma(\alpha, 1)$  where  $0 < \alpha < 1$ , and  $2(1-\alpha) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{m+1} \leq 1$ . Then*

$$(2.17) \quad |a_2| \leq \frac{2\alpha}{\sqrt{2(\alpha+2)+12}}$$

and

$$(2.18) \quad |a_3| \leq \frac{9\alpha^2 + 8\alpha}{36}.$$

### 3. Coefficient bounds for the function class $\mathcal{H}_\Sigma(\gamma, \beta)$

**3.1. Definition.** A function  $f(z)$  given by (1.1) is said to be in the class  $\mathcal{H}_\Sigma(\gamma, \beta)$  if the following conditions are satisfied:

$$(3.1) \quad f \in \Sigma \text{ and } \Re(f'(z) + \beta z f''(z)) > \gamma \quad (z \in \mathcal{U})$$

and

$$(3.2) \quad \Re(g'(w) + \beta w g''(w)) > \gamma \quad (w \in \mathcal{U}),$$

where  $\beta > 0, 0 \leq \gamma < 1, 2(1-\gamma) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\beta m+1} \leq 1$ , and the function  $g$  is given by (2.3).

**3.2. Theorem.** *Let  $f(z)$  given by (1.1) be in the class  $\mathcal{H}_\Sigma(\gamma, \beta)$ , where  $\beta > 0, 0 \leq \gamma < 1$ , and  $2(1-\gamma) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\beta m+1} \leq 1$ . Then*

$$(3.3) \quad |a_2| \leq \sqrt{\frac{2(1-\gamma)}{3(1+2\beta)}}$$

and

$$(3.4) \quad |a_3| \leq \frac{(1-\gamma)^2}{(1+\beta)^2} + \frac{2(1-\gamma)}{3(1+2\beta)}.$$

*Proof.* It follows from (3.1) and (3.2) that there exist  $p$  and  $q \in \mathcal{P}$  such that

$$(3.5) \quad f'(z) + \beta z f''(z) = \gamma + (1 - \gamma)p(z)$$

and

$$(3.6) \quad g'(w) + \beta w g''(w) = \gamma + (1 - \gamma)q(w)$$

where  $p(z)$  and  $q(w)$  have the forms (2.8) and (2.9), respectively. Equating coeffi-

cients in (3.5) and (3.6) yields

$$(3.7) \quad 2(1 + \beta)a_2 = (1 - \gamma)p_1,$$

$$(3.8) \quad 3(1 + 2\beta)a_3 = (1 - \gamma)p_2,$$

$$(3.9) \quad -2(1 + \beta)a_2 = (1 - \gamma)q_1$$

and

$$(3.10) \quad 3(1 + 2\beta)(2a_2^2 - a_3) = (1 - \gamma)q_2$$

From (3.7) and (3.9), we get

$$(3.11) \quad p_1 = -q_1$$

and

$$(3.12) \quad 8(1 + \beta)^2 a_2^2 = (1 - \gamma)^2 (p_1^2 + q_1^2).$$

Also, from (3.8) and (3.10), we find that

$$6(1 + 2\beta)a_2^2 = (1 - \gamma)(p_2 + q_2).$$

Thus, we have

$$|a_2^2| \leq \frac{(1 - \gamma)}{6(1 + 2\beta)} (|p_2| + |q_2|) = \frac{2(1 - \gamma)}{3(1 + 2\beta)}$$

which is the bound on  $|a_2^2|$  as given in (3.3).

Next, in order to find the bound on  $|a_3|$ , by subtracting (3.10) from (3.8), we get

$$6(1 + 2\beta)a_3 - 6(1 + 2\beta)a_2^2 = (1 - \gamma)(p_2 - q_2)$$

or, equivalently,

$$a_3 = a_2^2 + \frac{(1 - \gamma)(p_2 - q_2)}{6(1 + 2\beta)}.$$

Upon substituting the value of  $a_2^2$  from (3.12), we obtain

$$a_3 = \frac{(1 - \gamma)^2 (p_1^2 + q_1^2)}{8(1 + \beta)^2} + \frac{(1 - \gamma)(p_2 - q_2)}{6(1 + 2\beta)}.$$

Applying Lemma 1.1 for the coefficients  $p_1$ ,  $p_2$ ,  $q_1$  and  $q_2$ , we readily get

$$|a_3| \leq \frac{(1 - \gamma)^2}{(1 + \beta)^2} + \frac{2(1 - \gamma)}{3(1 + 2\beta)}$$

which is the bound on  $|a_3|$  as asserted in (3.4).  $\square$

Putting  $\beta = 1$  in Theorem 3.2, we have

**3.3. Corollary.** *Let  $f(z)$  given by (1.1) be in the class  $\mathcal{H}_\Sigma(\gamma, 1)$ , where  $0 \leq \gamma < 1$ , and  $2(1 - \gamma) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{m+1} \leq 1$ .*

$$(3.13) \quad |a_2| \leq \frac{1}{3} \sqrt{2(1 - \gamma)}$$

and

$$(3.14) \quad |a_3| \leq \frac{(1 - \gamma)(9(1 - \gamma) + 8)}{36}.$$

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